Evaluation of FY2014 Operating Results for Japan Aerospace Exploration Agency (JAXA)

> September 2015 Prime Minister

Minister of Internal Affairs and Communications Minister of Education, Culture, Sports, Science and Technology Minister of Economy, Trade and Industry



1. Items related to the evaluation										
Agency	National Research and Develop	National Research and Development Corporation, Japan Aerospace Exploration Agency (JAXA)								
FY for evaluation	FY evaluation	FY2014 (3rd term)								
	Medium to long-term	Year 2013-2017								
	objective period									

2. Items related to the assesse	pr				
The Competent Minister	Prime Minister				
Incorporated jurisdiction	Office of National Space Policy	Dept. and person in charge	Director for Office of National Space		
dept.					
Evaluation and	Policy Evaluation Public Relations Division, Minister's Secretariat	Dept. and person in charge	Director of Policy Evaluation and		
Inspection dept.					
The Competent Minister	Minister of Internal Affairs and Communications				
Incorporated jurisdiction	Global ICT Strategy Bureau	Dept. and person in charge	Director of Space Communications		
dept.					
Evaluation and	Policy Evaluation Public Relations Division, Minister's Secretariat	Dept. and person in charge	Director of Policy Evaluation and I		
Inspection dept.					
The Competent Minister	Minister of Education, Culture, Sports, Science and Technology				
Incorporated jurisdiction	Research and Development Bureau	Dept. and person in charge	Director of Space Development and		
dept.					
Evaluation and	Science and Technology Policy Bureau	Dept. and person in charge	Director of Planning and Evaluation		
Inspection dept.					
The Competent Minister	Minister of Economy, Trade and Industry				
Incorporated jurisdiction	Manufacturing Industries Bureau	Dept. and person in charge	Chief of Space Industry Office, Akir		
dept.					
Evaluation and	Policy Evaluation Public Relations Division, Minister's Secretariat	Dept. and person in charge	Director of Policy Evaluation and P		
Inspection dept.					

3. Items regarding	; implementation of the evaluation						
9 th July 2015	Joint hearings pertaining to the business achievements of Japan Aerospace Exploration Agency (JAXA) was held by Ministry of Education, Culture, Spor						
	Ministry of Economy, Trade and Industry (METI).						
	Range of listening practice: I. 1, Social infrastructure for expansion of the use of space and securement of autonomy, I. 2, Pursuit the possibility of future of						
	Aviation science and technology, I. 4, Cross-cutting issues						
	(*METI was for I. 4 only)						
10th July 2015	Joint hearings pertaining to the JAXA business achievements was held by the Cabinet Office and Minister of Internal Affairs and Communications.						
	Range of listening practice: I. 1, Social infrastructure for growth of space usage and autonomy. I. 2, Pursuit the possibility of future development and util						
	technology, I. 4, Cross-cutting issues						
	(*With exception of the matters under MEXT)						

ace Policy, Makito Takami

Public Relations Division, Masafumi Yokota

ns Policy Division, Tomoo Yamauchi

Public Relations Division, Tokuyuki Kase

nd Utilization Division, Yoshinori Horiuchi

tion Division, Takahisa Murakami

kira Tsunetou

Public Relations Division, Osamu Sudo

rts, Science and Technology (MEXT) and

e development and utilization of space, I. 3,

itilization of space, I. 3, Aviation science and

13 th July 2015	Joint hearings pertaining to the business achievements of JAXA was held by Ministry of Internal Affairs and Communications and Ministry of Education
	Range of listening practice: II. Measures for improving business efficiency, VIII 1Facilities and equipment related issues, VIII 2 Plans for personnel, VIII 3
22nd July 2015	Hearing in the Sub-Committee of JAXA under Ministry of Economy, Trade and Industry.
24th July 2015	Hearing in the Sub-Committee of JAXA under Ministry of Internal Affairs and Communication.
27th July 2015	Hearing in the National Research and Development Agency Council under Ministry of Economy, Trade and Industry.
5th August 2015	Hearing in the National Research and Development Agency Council under Ministry of Internal Affairs and Communications.
11th August 2015	Hearing in the Sub-Committee of Japan Aerospace Exploration Agency under Cabinet Office.
21st August 2015	Hearing in National Research and Development Agency Council under Ministry of Education, Culture, Sports, Science and Technology.

4. Important items and others relating to the evaluation

No comments

on, Culture, Sports, Science and Technology. I 3 Safety reliability related issues

1. Overall evaluation										
		(Reference) previous period's overall evaluation situation in the medium to long-term objective								
		period *2								
	A: Based on the National Research and Development Agency's aims, businesses, medium to		FY2013	FY2014	FY2015	FY2016	FY2017			
	long-term objectives and so on, as a result of comprehensive consideration based on some									
Rating*1	circumstances regarding the agency's business achievements, efforts and so on through its	Improvement of	А							
(S, A, B, C, D)	activities, the creation of considerable achievements, anticipated creation of achievements in the	business quality								
	future and so on toward "maximization of research and development" under the conditions of	Efficiency of	А	А						
	appropriate, effective and efficient business management are recognized.	business operations								
		Improvement of	А							
		financial conditions	11							
	The Basic Plan on Space Policy was revised in January 2015 and JAXA is still positioned as the "core implementation agency supporting space development and utilization of government-wide technology." Under the plan									
	of (1) Securing space security raised by this plan, (2) Promotion of space applications in the consumer field and (3) Maintenance of aerospace industry, science and technology infrastructure, the role and responsibility of									
	JAXA directed to achieve that objective is becoming heavier than ever before. On the other hand, as an aim to ensure the maximization of R & D achievements, the transition to National Research Development Agency from									
	Incorporated Administrative Agencies has been carried out from April, 2015 as the changes in the institutional framework surrounding the National & Development Agency responsible for research and development. At									
	this time, in the "Sub-Committee of Japan Aerospace Exploration Agency, Cabinet Office" and "National Research and Development Agency Council" under Ministry of Education and Ministry of Economy, Trade and									
	Industry, they advices in conformity with social knowledge, scientific knowledge, international standards, etc. based on the business results reported by the Agency are given to the report of business results FY2014 against									
	the third medium-term objective period of the second annual projects as being submitted by Agency. As outstanding achievements in the field of the use of satellites, monitoring and observation of volcanic activity,									
Reasons for rating	subsidence, landslides, etc., ALOS-2 disaster prevention organizations (Advanced Land Observing Satellite-2 "ALOS-2") data are incorporated into steady operations of the government agencies, and it is becoming									
	established as the information to determine actions for prevention that deserves special mention. Also in the field	eld of space transportation	on systems, it got	a track record o	of the world's top	level, such as succe	essful launches of			
	the core rocket in 5 years (H-IIA/B) and the world-leading time launch rate achieved. Furthermore, remarkal	ble performance can be o	bserved in space	science, aerospa	ice science and tec	hnology, foreign p	olicy that utilizes			
	space science, space and security and human resources development fields. Though it was said generally that	t the Agency's self-assess	sment was reaso	nable in the cou	ncils and sub-com	mittee, it is pointe	ed out that it was			
	excessively restrained in the council under Ministry of Education, Culture, Sports, Science and Technology.	Judging from the chara	cteristic of Nati	onal Research a	nd Development A	Agency aiming at '	"maximization of			
	research and development achievements", the Agency is regarded to have done the mission of FY 2014 a	s well as the creation of	f important rese	earch in the field	d of office and bu	isiness, and celebr	rated outstanding			
	achievements for the entire agency.						_			

2. Evaluation of the whole agency

* Regarding the topics of the social infrastructure related to the expansion of space utilization and the independence of the future possibility of space development, criteria for each project's minimum success, full success and extra success are defined, and the business performance evaluations are also understandable.

* Regarding the cross-cutting issues as well, the predetermined objects are also done steadily, and strong qualitative aspects such as contributions to foreign policy and national security policy are firmly described to also be understandable.

* In the current evaluation, even though the objective is advanced, it is most likely to be evaluated as B (standard). Isn't it necessary to have an evaluation method considering the degree of difficulty of the objective itself? In the case that the project items are all "B" rating, it is difficult to rate other than 'B' unless otherwise they are special. In this sense to respect and improve the motivation of people involved in these fields, it is requested to study and improve the evaluation method within the Agency and reflect it in the system.

* "S" or "A" is occasionally come across in the development fields, but evaluation of Administrative and Office areas are all "B" rating. The indirect divisions, such as back-office sections should be evaluated to give them adequate ratings, not horizontal equalitarian evaluation, considering to keep a high level of moral by clarifying what the rules and standards of evaluation method should be within the Agency.

* Various facts listed in the rating reasons are not clear for criteria of self-evaluation. For instance, although it can be observed occasionally that it stated "exceed the fiscal plan" and "XX advanced a lot" but rated "B" is given in self-evaluation. Starting next fiscal year, definitions of "S" and "A" ratings have to be described clearly. It is desirable to consider quantitative evaluation criteria as much as possible, and in accordance with that, it is needed to devise how to determine more clearly.

* It is a good direction to have evaluated their own results without high evaluation themselves. It is highly expected to formulate the organization culture to try forward to higher achievement.

* The evaluation method was changed ("B" rating as standard) in the mediumdle of the medium-term plan. In this regard, it is necessary to watch and make sure not to give misunderstanding about the evaluation rating. It is necessary to explain

properly at the time of announcement so that people do not get the wrong impression looking only at the rating.

* Self-evaluation should be done to hear the opinion of the Committee of the Council for self-evaluation as well as countermeasures by mentioning and clarifying the issues and the challenges. It is requested to take effect from the time of result evaluation of FY2015.

- * It is an actual step forward beyond the traditional evaluation framework in the sector of the Agency that Professor Steve Squyres of United States Cornell University was invited as an Ad-Hoc Committee of JAXA Sub-Committee under Ministry of Education, Culture, Sports, Science and Technology and ask the evaluation of the Agency's operation results in space and science fields. On the other hand, it is expected to make further improvement and ingenuity for easy evaluation of contents of the actual report and explaining knowhow, for example, for the foreign members.
- * The Agency should strengthen efforts towards human resource development to continue contributions to industrial development and to proceed strategic research for the creation of Japan's strengths, resulting in developments in various fields to further promote cooperation and support to disseminate information and private business operators.
- * Investments in the Agency must lead to industrial development and improving people's livelihoods. And in this sense, it is necessary to make steady progress and get public support through public relations activities. It is necessary to promote public relations activities at the timing of results obtained to get the public's understanding for huge space development investments, such as for example, satellites, space parts and new medicine development, the medical field using the ISS (International Space Station).
- * It is hoped that there will be continued and expanded collaboration and cooperation between the ministries, international and national organizations as well as private companies.
- * It is necessary to make policies and evaluate them from a long-sighted viewpoint from 10 years, 20 years, 50 years and 100 years.

3. Issues to be solved and /or improved for each subject

* It is requested to continue user-driven activities, such as the continuity of data and the ease of use of multiple satellite data.

- * Regarding the development of the new core rocket 'H3', it is strongly requested to realize international competitiveness along with the realization of the world's top domestic rocket development engineers.
- * It is important to make efforts to launch H-IIA and H-IIB on schedule and further raise the success rate as much as possible.
- * It is expected to ensure success of "Akatsuki" into orbit scheduled for the end of the year and the steady operation of the Hayabusa 2. And the steady success of "ASTRO-H" is extremely important in terms of cosmology and high energy astronomy as it is directly integrated to the creation of scientific results.
- *As for participation in the ISS project, the balance of the results and the cost of 40 billion yen / year may be pointed out more in the future. It is expected to create suitable results judging from the cost. Using external parties in the evaluation of the ISS project would seem to increase objectivity in the evaluation.
- * D-NET (disaster relief aircraft information sharing network) is expected to work in a large-scale disaster, which is bound to occur again for aviation technology, but there is low awareness in society since it is rarely reported in newspapers. It is expected to increase name recognition as it get meaning only when it is familiar with the public.
- * Though it seems that strong leadership by the head is demonstrated in visible aspects such as holding a regular press meeting through public relations, strengthening of internal human resources and organizational change, it is required to make the way of its leadership clearer, making it possible to the direction how the head intents. Also, it is requested to devise methods for evaluation and self-assessment in the management aspects of the head's point of view.
- * As a part of enhancement of collecting information and survey analysis, the Agency has strived to inform the information in order to realize advanced strategic planning, creation a think tank function that can match other countries is expected, taking advantage of the wide range of contacts and expertise that the Agency has developed.
- * Cooperation with regions other than the Far East, South-East Asia and the West is beginning, but it is requested to consider accommodating different traditional cultures. As private enterprises from countries other than the United States have become responsible for important parts of space projects, it is requested to support the survival and growth of Japan's private enterprises, especially venture companies.

4. Other items							
Main opinions from	* Observation data of ALOS-2 and GSMaP (satellite global rainfall map) has been widely used in national and international agencies for disaster prevention and disast						
National Research and	given to skill and effectiveness both internally and externally, and for this outstanding achievement, the "S" rating is reasonable.						
Development Agency	* It is a great achievement of Japan to have a high launch success rate and on time launch rate. Performance especially in the second half of 6 months core rocket launches						
Council	the management and coordination. For the space transportation system, there are new projects, development of next core rocket and advanced solid rocket in addition to t						
	work at the same time is progressing in parallel. It has to proceed firmly to secure human resources, time, and budget management processes. Attention has to be paid from						
	rate considering the launch costs of the completion on the new core rockets at an early stage.						
	* 322 papers including 6 papers published in Science or Nature were issued. A remarkable achievement was made in ongoing scientific satellites as 51 papers out of the						
	difficult research and development for scientific satellites in development. From the remarkable accomplishments for the future, an 'A' rating is appropriate.						
	* Though it was in the span of FY 2013 and FY2014, a successful mission was accomplished by ISS astronaut, Koichi Wakata as captain. It can be highly appreciated.						

er reduction. This is resulted from the recognition

s can be evaluated as a complete success including the steady operation of regular launches. A lot of om the design stage to make a high on-time launch

nem have been widely cited and they have tackled

	* The Agency is expected to contribute further as the achievement results are clear and role of the Agency is large in terms of scientific personnel for the field of aeros
	technology-oriented nation.
Auditor's special	No comments
comments	

- *1 S: Based on the National Research and Development Agency's aims, businesses, medium to long-term objectives and so on, as a result of comprehensive consideration based on some circumstances regarding the agency's business achievements, efforts and so on through its activities, especially the creation of considerable achievements, anticipated creation of special achievements in the future and so on toward "maximization of research and development" under the conditions of appropriate, effective and efficient business management are recognized.
 - A: Based on the National Research and Development Agency's aims, businesses, medium to long-term objectives and so on, as a result of comprehensive consideration based on some circumstances regarding the agency's business achievements, efforts and so on through its activities, the creation of considerable achievements, anticipated creation of achievements in the future and so on toward "maximization of research and development" under the conditions of appropriate, effective and efficient business management are recognized.
 - B: Based on the National Research and Development Agency's aims, business, medium to long-term objectives and so on, as a result of comprehensive consideration based on some circumstances regarding the agency's business achievement, efforts and so on through its activities, a certain degree of expectation for the creation of achievement and creation of achievement in the future toward "maximizing the achievements of research and development" were recognized, and steady business operations have been also recognized.
 - C: Based on the National Research and Development Agency's aims, businesses, medium to long-term objectives and so on, as a result of comprehensive consideration based on some circumstances regarding the agency's business achievements, efforts and so on through its activities, the creation of achievements, further drastic efforts and improvements toward "maximization of research and development" or "the appropriate, effective and efficient administration of the operations" are anticipated.

D: Based on the National Research and Development Agency's aims, businesses, medium to long-term objectives and so on, as a result of comprehensive consideration based on some circumstances regarding the agency's business achievements, efforts and so on through its activities, special efforts and improvements including a fundamental drastic review, toward "maximization of research and development" or "the appropriate, effective and efficient administration of the operations" are required.

[Other than administrative work and projects regarding research and development (After II)]

S: Through the activities of the Agency Managed under Medium-term Objectives, considerable achievements more than the original medium-term objective from a quantitative point of view have been recognized (in terms of quantitative index, the achievement rate against the

medium-term objective is over 120% and significant achievement has been recognized from a qualitative point of view).

A: Through the activities of the Agency Managed under Medium-term Objectives, more achievement than the original medium-term objective has been recognized (in terms of quantitative index, achievement rate against the medium-term objective is over 120%).

B: The original medium-term objective has been achieved (in terms of quantitative index, the achievement rate against the medium-term objective is between over 100% and less than 120%).

C: Operating performance has been less than the original medium-term objective. As a result, improvement is needed (in terms of quantitative index, the achievement rate is between over 80% and below 100%).

D: Operating performance has been below the original medium-term objective. As a result, drastic improvement including abolition of projects is required (in terms of quantitative index, the achievement rate against the medium-term objective is below 80%, or improvement of business management and

other necessary measures ordered by the competent ministry are recognized).

cospace human resource development, science and

Medium to long-term objectives (Medium to long-term plan)			FY Rating	s*		No. of document each item	Remarks Medium to long-term objectives (Medium to long-term plan)		FY Ratings*					No. of document each item	Remarks
	FY2013	FY2014	FY2015	FY2016	FY2017	,		F	Y2013	FY2014	FY2015	FY2016	FY2017		
Measures to be taken for achievin	ng the obje	ctives conce	erning the i	mprovemen	t of the ser	rvice to the na	tion and the	II. Measures to be taken for achieving	the obje	ectives cor	ncerning th	e efficienc	y of the		
ality of the operations					1			administration of the operations				1	1	1	
1. Social infrastructure for expansion of the use of Space and securement of the autonomy	-	-	-	-	-	-	-	1. Enhancement of internal controls and governance	-	-	-	-	-	-	-
(1) Positioning satellite	А	В	-	-	-	I-1-1	-	(1) Security of information	А	В	-	-	-	II-1-1	-
(2) Remote sensing satellite	S	S	-	-	-	I-1-2	-	(2) Project management	А	В	-	-	-	II-1-2	-
(3) Communications and broadcasting satellite	A	В	-	-	-	I-1-3	-	(3) Appropriateness of contract	А	В	-	-	-	II-1-3	-
(4) Space Transportation System	S	А	-	-	-	I-1-4		2. Flexible and efficient organization management	А	В	-	-	-	II-2	
2. Pursuit of the possibility of future development and utilization of Space	-	-	-	-	-	-	-	3. Streamlining and efficiency of operations	-	-	-	-	-	-	-
(1) Space science and Space exploration program	A	A	-	-	-	I-2-1	-	(1) Streamlining and efficiency of operational expenses	А	В	-	-	-	II-3-1	-
(2) Human space activity program system	S	В	-	-	-	I -2-2	-	(2) Streamlining and efficiency of personnel expenses	А	В	-	-	-	II-3-2	-
(3) Space solar power research and development program	A	В	-	-	-	I-2-3	-	4. Application of information technology	А	В	-	-	-	II-4	-
3 Aeronautical science and technology	-	-	-	-	-		-	(1) Security of information	S	В	-	-	-	II-4-1	-
(1) Research and Development focused on Environment and Safety	В	A	-	-	-	I-3-1	-	III. Budget (Including personnel expenses)/ income and expenditure plan, and funding	А	-		_	-	-	3. Evaluati done in reference Streamlini of
(2) Promotion of usage of	A	B	-		_	I-3-2	-	plan IV. Limit amount of short-term	_	_	-	-	-	-	operation No

		-						
aeronautical science and technology								borrowing pertine item for evaluat
4. Cross-cutting issues	-	-	-	-	-	-	-	V. If the agency has any Unnecessary Property or any property that is expected to be
(1) Comprehensive efforts to expand the use	А	В	-	-	-	I-4-1	-	VI. If the agency intends to transfer or provide as collateral any important property other than the property provided for in the preceding item, a plan therefor;
(2) Updates to the enhancement of technology and industrial competitiveness	А	В	-	_	_	I-4-2	-	VII. Purpose of using accumulated profit - - - - - - No pertine item for evaluated profit
(3) Contribution to foreign and security policy and international cooperation using the space	А	A	-	-	-	I-4-3	-	
(4) Promotional activitiesto meet Country needsinfrastructure overseas.	А	В	-	-	-	I-4-4	-	
 (5) Enhancement of information gathering and survey analysis ability for the formulation of effective space policy planning. 	А	В	-	-	-	I-4-5	-	VIII. Other matters concerning the administration of the operations specified by ordinance of the competen ministry
(6) Human resource development	А	А	-	-	-	I-4-6	-	1. Facilities and equipment A B - - VIII-1 -
(7) Consideration for theenvironment with a viewto sustainable developmentand utilization of space	А	В	-	-	-	I-4-7	-	2. Plans for personnel A B - - VIII-2 -
(8) Information disclosure and public relations	А	А	-	-	-	I-4-8	-	3. Safety reliability related issues A B - - VIII-3 -
(9) Business assessment	А	В	-	-	-	I-4-9	-	
	-	-	-	-	-	-	-	

* For items that are set to "high" level of importance, a "circle" shall be marked next to each comment. For items that are set to "high" level of difficulty, each comment shall be underlined.

* Evaluations up to FY 2013 were made based on "the basic guideline regarding business results evaluation for Incorporated Administrative Agency under MEXT's jurisdiction" (The evaluation committee for incorporated administrative agency under MEXT's jurisdiction on March 22, 2002). Also, the evaluations after FY2014 are made based on "criteria relating to the evaluation for Incorporative Administrative Agency under MEXT's jurisdiction" (decided by the Minister of MEXT in June, 2015). The details are as follows.

S: Outstanding achievements are fulfilled. (Without providing a cross-cutting objective standard for the agency in advance, S is rated	
 according to the characteristics of the agency's business operations.) A: Achievements are in line with the plan for medium-term, or beyond, or are steadily being implemented toward a medium-term objective, or beyond (achievement rate for the medium-term objectives should be over 100% in a given FY). B: In some ways the plan is not being implemented in line with medium-term-objectives, however, the plan may be achieved by means of ideas and efforts (achievement rate for the medium-term objective, should be 70% to 100%.). C: The implementation of the plan is behind the medium-term objective, therefore, improvement of business is necessary in order to realize the achievement of the medium-term objective (achievement rate for the medium-term objective in a given FY is less than 70%.). F: The Evaluation Committee needs to warn an agency concerning the improvement of its business management and others (Without providing an objective standard in advance, F is rated as a result of judgement that a warning concerning business improvement is necessary.) 	 [Administrative and projects related to research and development (1)] S: Based on the National Research and Development Agency's aims, businesses, medium to lon consideration based on some circumstances regarding the agency's business achievements, e of considerable achievements, anticipated creation of special achievements in the future and under the conditions of appropriate, effective and efficient business management are recognit A: Based on the National Research and Development Agency's business achievements, e considerable achievements, anticipated creation of achievements in the future and so on tow conditions of appropriate, effective and efficient business management are recognized. B: Based on the National Research and Development Agency's aims, business, medium to long-the Incorporated Administrative Agency's business achievements, efforts and others, a certain creation of achievement in the future toward "maximizing the achievements of research and Development Agency's business achievements, a coperation based on some circumstances regarding the agency's business, medium to long-the locaporated Administrative Agency's auminizing the achievements of research and Development Agency's business achievements, and others, a certain creation of achievement in the future toward "maximizing the achievements, and on the National Research and Development Agency's business achievements, a achievements, further drastic efforts and improvements toward "maximization of research and D: Based on the National Research and Development Agency's business achievements, e improvements including a fundamental drastic review, toward "maximization of research and Development Agency's business achievements, e improvements including a fundamental drastic review, toward "maximization of research and D: Based on the National Research and Development Agency's business achievements, e improvements including a fundamental drastic review, toward "maximization of research and polyter than administrative wor

ong-term objectives and so on, as a result of comprehensive , efforts and so on, through its activities, especially the creation nd so on toward "maximization of research and development" gnized.

ong-term objectives and so on, as a result of comprehensive efforts and so on through its activities, the creation of oward "maximization of research and development" under the

g-term objectives and so on, as a result of taking account of ain degree of expectation for the creation of achievement and and development" were recognized, and steady business

ong-term objectives and so on, as a result of comprehensive efforts and so on through its activities, the creation of and development" are anticipated.

ong-term objectives and so on, as a result of comprehensive efforts and so on through its activities, special efforts and and development" are required.

[)]

ble achievements more than the original medium-term objective itative index, the achievement rate against the medium-term point of view).

achievement than the original medium-term objective has been jective is over 120%).

achievement rate against the medium-term objective is between

sult, improvement is needed (in terms of quantitative index, the

, drastic improvement including abolition of projects is bjective is below 80%, or improvement of business management